

CALL FOR PROPOSALS

SUBSTANCE ABUSE POLICY RESEARCH

P R O G R A M

Round IX

Brief Proposal Deadline: August 27, 2004

[Apply Online](#)

This program only accepts proposals
submitted online

THE ROBERT WOOD JOHNSON FOUNDATION®

PROGRAM OVERVIEW

(Please refer to specific sections for complete detail.)

Purpose

The *Substance Abuse Policy Research Program* is designed to encourage experts in public health, law, political science, medicine, sociology, criminal justice, economics, psychology and other behavioral and policy sciences to address issues related to substance abuse, one of the most pressing public health problems facing our nation. The projects supported are expected to increase understanding of public and private policy interventions to prevent, treat and reduce the harm caused by the use of tobacco, alcohol and other drugs—including the advantages, disadvantages and potential impact of these policies.

Eligibility and Selection Criteria (page 6)

Preference will be given to applicants who are tax-exempt under Section 501(c)(3) of the Internal Revenue Code and are not private foundations as defined under Section 509(a).

For a complete list of Eligibility and Selection Criteria please see page 6.

Total Awards—Round IX

- Up to \$3.5 million is available in this round of funding.
- Two types of funding are currently available (both for up to three years of funding):
 - Projects totaling less than \$100,000.
 - Projects totaling \$100,000 to \$400,000.

Deadlines

For projects less than \$100,000:

Grants are awarded on a rolling basis and may be submitted at any time. All brief and full proposals must be submitted online.

For projects of \$100,000 to \$400,000:

- **August 27, 2004 (3 p.m. ET)**—Deadline for receipt of brief proposals submitted online.
- **Early November 2004**—Applicants will be notified if they have been selected to submit a full proposal.
- **January 15, 2005 (3 p.m. ET)**—Deadline for receipt of full proposals submitted online.
- **Early April 2005**—Notification of awards.
- **June 2005–October 2005**—Initiation of projects.

How to Apply (page 10)

This program only accepts proposals submitted online.

For information on the program, eligibility criteria and application requirements please contact the National Program Office:

Tracy Enright Patterson, Deputy Director

Phone: (336) 286-4418

E-mail: patterson@leaders.ccl.org

www.saprp.org

BACKGROUND

Substance abuse is one of our nation's most pressing public health problems, responsible for more than half a million preventable deaths annually. The morbidity and mortality from the use of tobacco and the abuse of alcohol and other drugs are staggering, as are the direct and indirect costs to society. These costs include violence, crime, overburdened health care and other service systems, higher health care costs, and reduced industrial productivity. Substance abuse touches every aspect of our society, and we need to find ways to reduce its toll.

The mission of The Robert Wood Johnson Foundation (RWJF) is to improve the health and health care of all Americans. One of its four goals is to reduce the personal, social and economic harm caused by substance abuse—tobacco, alcohol and illicit drugs.

This *Substance Abuse Policy Research Program (SAPRP)* is intended to identify and assess policies that can reduce the harm caused by substance abuse; to analyze the development, feasibility, effectiveness and likely consequences of these policies; and to help ensure that the understanding gained through these analyses will be used by decision-makers in the public and private sectors.

THE PROGRAM

SAPRP provides the opportunity for policy researchers from a variety of disciplines to apply their expertise to important and challenging substance abuse problems.

Funding for policy research studies is available in two ways. Proposals for projects requesting less than \$100,000 will be accepted at any time. This option is usually for projects that are time-sensitive, of shorter duration, and that involve analysis of current or emerging policies or secondary data. Projects requesting amounts of \$100,000 to \$400,000 should follow the Timetable on page 15.

Policy research projects will be funded in four areas: tobacco, alcohol, other drugs and combinations of tobacco, alcohol and/or other drugs. Projects may address public policies at the national, state or local level, or they may address private-sector policies within companies, associations, unions or trade groups. Researchers are encouraged to monitor the policy environment to identify unique and timely research opportunities that might be available in their areas of interest. Researchers are also encouraged to collaborate with policy-makers, advocacy groups and other policy organizations both as part of the research design and to ensure that research results are widely disseminated. As the focus of this program is the United States, studies of policies in other countries will be considered only to the extent that they may directly inform U.S. policy.

The program actively communicates the results of funded projects to the media, policy-makers, other researchers, program administrators, public health advocates, the general public and other important audiences. In doing so, the program plays a significant role in the development of policies intended to improve the way society addresses problems related to substance abuse.

To date SAPRP has funded over 225 projects. Please visit the program's Web site at www.saprp.org for a complete list of project summaries. Examples of the types of issues that would be of interest under the program include, but are not limited to:

- Disparities in alcohol, tobacco or illegal drug policies, services, treatments and outcomes based on race/ethnicity, socioeconomic status and/or gender.
- The relationship between state fiscal downturn in recent years and alcohol, tobacco and drug prevention and treatment policies and services, including state tax policy and use of tobacco Master Settlement funds.
- The impact of clean indoor air policies on local economies and businesses, and on tobacco use, tobacco treatment and general health care services.
- The implementation and impact of a trend toward greater accountability mandates for the use of evidence-based interventions and therapies in federal programs (e.g., Performance Partnership Grants, Drug-Free Schools Block Grants) for alcohol, drug and/or tobacco addiction.
- The impact of “reduced risk” and “modified” nicotine products on tobacco use, cessation and/or use of evidence-based tobacco treatment services.
- Building capacity for and improving the quality of systems that treat alcohol, drug or nicotine addiction; policy options, implementation and consequences at state, local and organizational levels.
- Research on the role of consumer involvement and advocacy in policy change that addresses tobacco, alcohol and/or drug use and abuse.
- Inclusion of alcohol, drug and/or tobacco prevention or treatment/cessation policies and delivery system changes within organized health care systems.
- Policies that impact underage use and access to alcohol.
- Policies that shift responses to drug use from sanction-based interventions to addiction treatment.

SPECIAL SOLICITATION

In spring 2005, SAPRP will be offering a Special Solicitation. It will provide support for studies on a few timely and important but relatively neglected areas of policy research. The review, selection process and maximum cost per study will be the same as for the larger (\$100,000 to \$400,000) SAPRP-supported projects. Information about the solicitation will be posted in early 2005 on the program's Web site at www.saprp.org.

ELIGIBILITY AND SELECTION CRITERIA

Preference will be given to applicants who that are tax-exempt under Section 501(c)(3) of the Internal Revenue Code and are not private foundations as defined under Section 509(a).

To be considered favorably under this solicitation, proposals must demonstrate the potential to produce new information that is directly relevant to policies intended to reduce the harm caused by substance abuse. All proposals will be assessed by a committee composed of RWJF staff, National Program Office (NPO) staff and senior program consultants, and outside reviewers.

The committee will use the following criteria:

- Significance of the substance abuse policy being analyzed or evaluated.
- Timeliness of the project for affecting policy development or implementation.
- Uniqueness of the project.
- Quality and availability of the data to be used and the strength of the proposed methodology. (Depending on the focus of the project, primary data collection or secondary data analysis may be supported.)

- Applicant's experience and qualifications for conducting the proposed project, and the time commitment of key project staff who have the skills and experience to perform the various analytic tasks required.
- Reasonableness of the budget request in view of the work proposed.
- Strength of the applicant's plan to assist in the dissemination of research results, including presentations at professional forums and meetings, and communications with key audiences, including media, policy-makers and the general public.

All primary data collected under this program are subject to the Foundation's general requirement for producing datatapes for public use. We encourage potential applicants to visit the program's Web site at www.saprp.org and review the abstracts of funded projects and suggestions for strengthening proposals, which give an idea of the types of studies previously funded under this program.

USE OF GRANT FUNDS

Grant funds may be used for project staff salaries, consultant fees, data collection and analysis, supplies and other direct expenses, including a limited amount of equipment deemed essential to the proposed project. RWJF will support indirect costs at a rate of up to 9 percent. In keeping with RWJF policy, grant funds may not be used to subsidize individuals for the cost of health care, to support clinical trials of unapproved drugs or devices, to construct or renovate facilities, for lobbying or as a substitute for funds currently being used to support similar activities.

Grant funding amounts and time periods are flexible and will be commensurate with the size and scope of the proposed activity. Projects that require substantial primary data collection, for example, would probably require more time and funding than would be involved in some other types of policy analyses. Total project awards will be funded up to a maximum of \$400,000 and may extend for a maximum of three years.

GRANT MONITORING

Grantees will be expected to meet RWJF requirements for the submission of progress and financial reports. Principal investigators are expected to participate in annual grantee meetings (typically held in the fall or winter), that include communications training sessions, and to give progress reports on their grants. At the close of each grant, principal investigators are expected to provide a written report on the project and its findings. In some instances, principal investigators and co-investigators will be asked to participate in media and policy briefings and other forums that will help communicate research results to a wide audience.

PROGRAM DIRECTION

Direction and technical assistance for this program are provided by the Center for Creative Leadership, which serves as the National Program Office.

Substance Abuse Policy Research Program

Center for Creative Leadership

One Leadership Place

Post Office Box 26300

Greensboro, NC 27438-6300

Web site: www.saprp.org

E-mail: pattersont@leaders.ccl.org

Responsible staff members at the NPO are:

- David G. Altman, Ph.D., *Program Director*
- Marjorie A. Gutman, Ph.D., *Co-Director*
- Andrea E. Williams, M.Ed., *Deputy Director*
- Tracy Enright Patterson, M.A., *Co-Deputy Director*
- Susan James Frye, M.M., *Administrative Coordinator*
- Prabhu Ponkshe, M.A., LL.B., *Communications Director*

Additional program direction is provided by the following senior program consultants:

- Lawrence Brown, Jr., M.D., M.P.H., *Senior Vice President, Division of Medical Services, Evaluation and Research, Addiction Research and Treatment Corporation, Brooklyn, N.Y.*
- R. Lorraine Collins, Ph.D., *Senior Research Scientist, Research Institute on Addictions, State University of New York, Buffalo, N.Y.*
- Michael Eriksen, Sc.D., *Professor and Director, Institute of Public Health, Georgia State University*
- Richard Frank, Ph.D., *Professor, Department of Health Care Policy, Harvard Medical School*
- Lorraine T. Midanik, Ph.D., *Associate Dean for Academic Affairs and Professor, School of Social Welfare, University of California, Berkeley*
- Robert R. Rabin, J.D., Ph.D., *A. Calder Mackay Professor of Law, Stanford Law School*

- Stephen D. Sugarman, J.D., *Agnes Roddy Robb Professor of Law, University of California, Berkeley*

Responsible staff members at The Robert Wood Johnson Foundation are:

- Victor A. Capoccia, Ph.D., *Senior Program Officer*
- C. Tracy Orleans, Ph.D., *Senior Scientist and Senior Program Officer*
- Joseph F. Marx, *Senior Communications Officer*
- Thomas J. Andruszewski, *Grants Administrator*

HOW TO APPLY

There are two stages in the application process: submission of a *brief proposal*, and, *if invited*, submission of a *full proposal* to be submitted at a later date.

All brief and full proposals must be submitted through the *RWJF Grantmaking Online* system. To access the system please visit the Web links listed as follows.

A limited number of applicants who have submitted brief proposals will be requested to submit more detailed proposals for which a formal application package and instructions will be provided. RWJF does not provide individual critiques of proposals submitted.

For information on the program, eligibility criteria and application process please contact:

Tracy Enright Patterson

Phone: (336) 286-4418

E-mail: patterson@leaders.ccl.org

For more information on funded projects and frequently asked questions, please visit the SAPRP Web site at www.saprp.org.

For Projects Requesting Less Than \$100,000

Brief proposals for projects requesting less than \$100,000 may be submitted at any time and will be reviewed as they are received. A limited number of applicants will be invited to submit full proposals. These proposals will also be reviewed as they are received. Such projects usually are: (a) time-sensitive; (b) of shorter duration; and (c) involve analysis of current policies or secondary data analysis.

Please go to <http://grantmaking.rwjf.org/spr1> to submit your brief proposal.

For Projects Requesting \$100,000 to \$400,000

Brief proposals for projects requesting \$100,000 to \$400,000 must be submitted by the deadline in the Timetable on page 15. Upon review of all brief proposals a limited number of applicants will be invited to submit full proposals.

Please go to <http://grantmaking.rwjf.org/spr2> to submit your brief proposal.

NOTES

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ABOUT RWJF

The Robert Wood Johnson Foundation® is the nation's largest philanthropy devoted exclusively to health and health care. It concentrates its grantmaking in four goal areas:

- To assure that all Americans have access to quality health care at reasonable cost.
- To improve the quality of care and support for people with chronic health conditions.
- To promote healthy communities and lifestyles.
- To reduce the personal, social and economic harm caused by substance abuse—tobacco, alcohol and illicit drugs.

*This document, as well as many other
Foundation publications and resources, is available
on the Foundation's Web site:*

www.rwjf.org

Sign up to receive e-mail alerts on
upcoming Calls for Proposals at:

<http://subscribe.rwjf.org>

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